Thomas J. Zaleski

P. O. Box 20757
Sedona, Arizona 86341-0757
928.282.5731

Experience:

Oct. 1999 – Current ***Thomas Capital Management, LLC*** ***Tucson, AZ***
President

- Establish and maintain client's equity and fixed income portfolios
- Cultivate prospective clients
- Maintain current client base
- Prepare quarterly financial client statements
- Prepare quarterly Client Letters
- Read and research information regarding current and prospective investments
- Service clients & provide information on current market conditions
- Perform and maintain all Advent/Axys portfolio reports
- Financial accounting of firms assets and financial records

Sept. 1998 – Sept. 1999 ***Morgan Stanley*** ***Tucson, AZ***
Financial Consultant

- Commissionable revenue of $250,000
- Serviced over 150 accounts
- Managed individual portfolios with discretion
- Sales included mutual funds, annuities, individual securities, trust services, & managed money.

Jan. 1998 – Sept. 1998 ***Wells Fargo Securities*** ***Tucson, AZ***
Financial Consultant

- Commissionable revenue of $450,000
- Serviced over 500 accounts
- Managed individual portfolios with discretion
- Sales included mutual funds, annuities, individual securities, trust services, & managed money.

Aug. 1995 – Jan. 1998 ***Smith Barney*** ***Tucson, AZ***
Financial Consultant

- Completed two year training program
- Attained NASD Series 7, 63, and 65 licenses
- Gathered over $20 million in new assets in two years
- Top 5% of Smith Barney Training Class 1995/1996

May 1985 – June 1995 ***Hughes Aircraft Company*** ***Tucson, AZ***
Financial Consultant

- Corporate Finance – Preparation of budgets and monitoring thereof in compliance with SOW, milestone schedules, applicable contracts
- Contract and Procurement Expert
- Account Receivable – General Ledger Accounting
- Time Keeping – Factory audits and Government Audits
- Auditing – Vouching of budgets, variances, etc.
- Corporate Legal Office – Truth In Negotiations Research and Defense

Education:

NASD Series 7, 63, 65, Arizona Life & Variable Contracts Insurance License
Registered Investment Advisory License
B.S.B.A University of Arizona, 1984 – Major: Finance, Economics
Enrolled to Practice before IRS – Enrolled Agent 1993

Interests: Trapshooting, Golf, hiking, rock climbing, soccer, and karate